Community Health Aide Program (CHAP)

Rocky Mountain Tribal Leaders Council Update

October 20, 2021

Office of Clinical & Preventive Services
History & Approach

Leveraging best practices and forging a strategic plan for expansion
Alaska CHAP & IHS CHAP

Alaska CHAP

- Alaska Community Health Aide Program (CHAP)
  - Behavioral Health Aide
  - Dental Health Aide
  - Community Health Aide

IHS National CHAP (Lower 48)

- IHS Community Health Aide Program (CHAP)
  - Behavioral Health Aide
  - Dental Health Aide
  - Community Health Aide
The Community Health Aide Program (CHAP) includes three (3) different health aide provider types which each include a tiered level practice. Health aides do not practice independently, but under the direct/indirect supervision of a licensed clinician. These health aides are seen as extenders of their supervising clinician to provide direct patient care. Because health aides extend the provider, they expand the system of care and increase access to care and have the ability to mobilize healthcare.

### Behavioral Health Aide
- Behavioral Health Aide I
- Behavioral Health Aide II
- Behavioral Health Aide III
- Behavioral Health Aide Practitioner

*Supervising Clinician: Licensed Behavioral Health Provider (LICSW, LCSW, Psych, etc.)*

### Community Health Aide
- Community Health Aide I
- Community Health Aide II
- Community Health Aide III
- Community Health Aide IV
- Community Health Aide Practitioner

*Supervising Clinician: Licensed Physician or Physician Assistant*

### Dental Health Aide
- Primary Dental Health Aide I
- Primary Dental Health Aide II
- Expanded Function Dental Health Aide I
- Expanded Function Dental Health Aide II
- Dental Health Aide Hygienist
- Dental Health Aide Therapist

*Supervising Clinician: Licensed Dentist*
CHAP Structure

- Training
- Certification
- Supervision
- Practice
- Administrative Oversight
Funding Decision

Using Tribal Consultation to develop federal and Tribal CHAP infrastructure
Funding Decision Recap

• On September 21, 2020 IHS announced a 60-day Tribal consultation on the FY2020 funds to support the implementation focusing on key implementation priorities.

• On April 28, 2021, the IHS announced the decision to combine both the FY 2020 and FY 2021 appropriations to support national CHAP implementation to ensure there are more resources going directly to Tribes and Tribal Organizations and the agency can invest in critical program infrastructure at the national and area level.
  – FY 2020- $5M
  – FY 2021- $5M

• Total funding allocation $10M
## Funding Decision Breakdown

<table>
<thead>
<tr>
<th>Program Category</th>
<th>Description</th>
<th>Amount (FY 2020-FY 2021) (Rounded)</th>
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<tbody>
<tr>
<td>National Infrastructure (Federal-HQ)</td>
<td>Provides for staffing, resources for the National Certification Board, and initial investments in CHAPTrac, proposed certification tracking system.</td>
<td>$1,680,000</td>
</tr>
<tr>
<td>Area Infrastructure (Federal-Area)</td>
<td>Provides for the staffing and fortification of Area residual functions for the Billings and Portland Area for FY 2020 and funding to support an additional two (2) IHS Area Offices for FY 2021.</td>
<td>$640,000</td>
</tr>
<tr>
<td>CHAP Tribal Planning &amp; Implementation (TPI) Grant (Tribal)</td>
<td>Grant awards to (3) Title I or Title V Tribes/Tribal Organizations positioned to begin operating CHAP</td>
<td>$3,000,000</td>
</tr>
<tr>
<td>CHAP Tribal Assessment &amp; Planning (TAP) Grant (Tribal)</td>
<td>Grant awards to (9) Title I or Title V Tribes/ Tribal Organizations requiring additional planning for the feasibility of CHAP.</td>
<td>$4,680,000</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td></td>
<td><strong>$10,000,000</strong></td>
</tr>
</tbody>
</table>
Funding Decision Breakdown

- National Infrastructure: 47%
- Area Infrastructure: 17%
- CHAP TAP Grant: 30%
- CHAP TPI Grant: 6%
CHAP Tribal Assessment & Planning (TAP) Grant

Providing support to Tribes and Tribal Organizations seeking to implement a CHAP
Eligibility

• A federally recognized Tribe as defined by 25 USC 1503(14)
• A Tribal Organization as defined by 25 USC 1603(26)
• An applicant to this announcement is ineligible to apply for the CHAP Tribal Planning and Implementation (TPI) Grant (HHS-2021-TPI-001)
CHAP TAP Grant Overview

• Funding Period: 2 years September 30, 2021-September 29, 2023
• Anticipated Number of Awards: 9
• Total Amount: $4.68M
• Estimated Individual Award Amount: $520,000
• Eligibility
  – Federally-Recognized Tribes
  – Tribal Organizations
• Estimated Application Due Date: September 6, 2021
CHAP TAP Overview

Goal
- To aid Tribes/Tribal Organization in assessing the feasibility on implementing CHAP into their existing Tribal health system

Objectives
- Assess whether the Tribe/Tribal Organization can integrate CHAP into the Tribal health system including the health care workforce
- Identify systematic barriers that prohibit the complete integration of CHAP that exist within the health care system related to clinical infrastructure, workforce barriers, certification of providers, training of provider, and the inclusion of culture in the services provided by a CHAP provider.
- Plan partners across the Tribe/Tribal Organization geographic region to address the systematic barriers that may include reimbursement, training, education, clinical infrastructure, implementation cost, and system integration.

Key Areas
- Clinical Infrastructure
- Workforce Barriers
- Training Infrastructure
- Cultural Inclusion
- Implementation Cost
- Determination of Implementation
Assess
- Whether the T/TO can integrate CHAP into the Tribal health system including health care workforce

Identify
- Systemic barriers that may prohibit the complete integration of CHAP into an existing health care system

Plan
- Partnership across the region to address the barriers
## Key Areas (Barriers & Partnerships)

### Clinical Infrastructure
- Physical and human resource infrastructure

### Workforce Barriers
- External factors that impact the health care workforce and its ability to provide care

### Cultural Inclusion
- Ensuring culture is included in the scope of practice for health aides

### Implementation Cost
- Quantifying cost associated with implementation

### Determination of Implementation
- Upon assessment, is CHAP the right fit?
CHAP TAP Application Anatomy

- **Part I - Program Information**
  - Section 1: Community Profile
  - Section 2: Health & Infrastructure Needs
  - Section 3: Organizational Capacity

- **Part 2 - Program Plan**
  - Section 1: Program Plan
  - Section 2: Program Activities
  - Section 3: Staffing Plan
  - Section 4: Timeline

- **Part 3 - Program Evaluation**
  - Section 1: Evaluation Plan
  - Section 2: Outcome Report
CHAP TAP Awards

- California Area (2)
- Great Plains (1)
- Navajo Area (1)
- Oklahoma City Area (3)
CHAP Tribal Planning & Implementation (TPI) Grant

Providing infrastructure support to Tribes and Tribal Organizations positioned to begin implementing a CHAP
Eligibility

- A federally recognized Tribe as defined by 25 USC 1503(14)
- A Tribal Organization as defined by 25 USC 1603(26)
- An applicant to this announcement is ineligible to apply for the CHAP Tribal Assessment and Planning (TAP) Grant (HHS-2021-TAP-001)
CHAP TPI Grant Overview

• Funding Period: 2 years September 30, 2021-September 30, 2023
• Anticipated Number of Awards: 3
• Total Amount: $3M
• Estimated Individual Award Amount: $1,000,000
• Eligibility
  – Federally-Recognized Tribes
  – Tribal Organizations
• Estimated Application Due Date: September 6, 2021
CHAP TPI Grant Overview

Goal

• To support the final planning and implementation of Tribes and Tribal Organizations positioned to begin operating a CHAP and support the regional flexibility required for Tribes and Tribal Organizations to implement a CHAP unique to the needs of their individual communities across the country.

Objectives

• Develop clinical supervisor support for primary care, behavioral health, and dental health clinicians providing both direct and indirect supervision of prospective health aides.
• Identify area and community specific needs to enhance the scope of work for prospective health aides to meet the unique needs of patients in the community
• Identify and develop a technology infrastructure plan for the mobility and success of health aides once providing services.
• Develop a training plan to include partners across the Tribe's/Tribal Organization's geographic region to enhance the training opportunities available to prospective health aides to include continuing education and clinical practice.
• Identify best practices for integrating a CHAP workforce into an existing Tribal health system.
• Address social determinants of health that impact the recruitment and retention of prospective health aides.

Key Areas

• Clinical Supervisor Support
• Scope of Work Development
• Technology Infrastructure
• Training Infrastructure
• System Integration
• Auxiliary Support for Prospective Health Aides
• Implementation Costs
# Key Focus Areas

## Clinical Supervisor Support
- Supporting licensed clinicians taking on supervision of health aides

## Area & Community Needs for Scope of Practice Integration
- Identify health outcome disparities that require an increased scope of practice

## Technology Infrastructure
- Building infrastructure to support mobility and quality assurance

## Training Plan
- Partnerships across stakeholders within the region to support training and continuing education

## Workforce Integration
- Developing key practices to fold CHAP into the health care workforce

## Social Determinants of Health
- Addressing external individual factors that may prohibit a growing workforce

## Implementation Costs
- What will it cost to build total infrastructure and sustain?
CHAP TPI Application Anatomy

• **Part 1- Program Information**
  – Section 1: Community Profile
  – Section 2: Health & Infrastructure Needs
  – Section 3: Organizational Capacity

• **Part 2- Program Plan**
  – Section 1: Program Plan
  – Section 2: Program Activities
  – Section 3: Staffing Plan
  – Section 4: Timeline

• **Part 3- Program Evaluation**
  – Section 1: Evaluation Plan
  – Section 2: Outcome Report
CHAP TPI Awards

• Billings Area (1)
• Portland Area (2)
Next Steps

• Ongoing Area Check In with Area Leadership
• CHAP TPI Kick Off Call
• CHAP TAP Kick Off Call
• CHAP Grant Best Practices Check-In (Voluntary)
• Publishing an IHS Blog- October 2021
• Updates to CHAP website with information
• CHAP TAG Meeting announcing the awards
• Continued TA for Area and National Certification Boards
• Internal Note:
  – CHA SME Position open on USAJobs.gov
  – DHA SME Position open on USAJobs.gov
Links to Grant

• CHAP Tribal Assessment & Planning Grant
  – Federal Register
  – Grants.gov

• CHAP Tribal Planning & Implementation Grant
  – Federal Register
  – Grants.gov
Resources

• **IHS CHAP Site**
• **IHS Community Education**
  – CHAP Policy Explained
  – CHAP Tribal Consultation & Implementation Priorities
  – CHAP Scope of Work
  – CHAP & CHR
• **Alaska CHAP Site**
• **CHAP Policy**
• **CHAP TAG Charter**
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